PTO/SB/17(11-00)

FEE TRANSMITTAL FOR FY 2001
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METHOD OF PAYMENT (check one)								
1.	[X]	any ov Depos	er payn it Acco	loner is hereby authorized to charge nents to: unt Number <u>02-2666</u> unt Name		llt		
	[]	Charge	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17					
	[]	Applic	ant ciai	ms small entity status. See 37 CFR 1	1.4/ 			
2.	X	Payme	ent Enci	osed: X Check Credit Card Money Order Other				
FEE	CALCUL	ATION						
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1.	BASIC	FILING	FEE					
Large	Entity	Small	Entity		•			
Fee	Fee	Fee	Fee	•				
Code	(, ,	Code	(\$)	Fee Description		Fee Paid		
101	710	201	355	Utility application filing fee		<u>710.00</u>		
106	320	206	160	Design application filing fee				
107	490	207	245	Plant filing fee				
108 114	710 150	208 214	355 75	Relssue filing fee				
114	150	214	75	Provisional application filing fee				
					SUBTOTAL (1) \$ _	710.00		
2.	EXTRA	CLAIM	FEES		Fee from			
				Extra Claims	below	Fee Paid		
Total	Claims	15		-20** = 0				
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Ur	number Entity	previous	siy paid	I, if greater; For Reissues, see be	iow.			
	Fee	Small I						
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103	(₹) 18	203	(4)	Fee Description Claims in excess of 20				
103	80	203	40	Independent claims in excess of 3				
104	270	202	135	Multiple dependent claims in excess of 3	A	ļ		
109	80	209	40	**Reissue Independent claims over				
110	18	210	9	**Reissue claims in excess of 20 an				
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					SUBTOTAL (2) \$			

FEE CALCULATION (continued) **ADDITIONAL FEES** 3. Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Pald 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 139 130 130 Non-English specification 147 2,520 147 2,520 For filing a request for ex parte reexamination 112 920* 920* Requesting publication of SIR prior to 112 **Examiner action** 113 1,840* 113 1,840* Requesting publication of SiR after **Examiner action** 115 110 215 55 Extension for reply within first month 195 116 390 216 Extension for reply within second month 890 117 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1.510 138 1.510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1.240 241 620 Petition to revive - unintentional 1,240 142 242 620 Utility issue fee (or reissue) 143 440 243 220 Design Issue fee 144 600 244 300 Piant issue fee 122 130 122 130 Petitions to the Commissioner 123 130 123 130 Petitions related to provisional applications 126 180 126 180 Submission of information Disclosure Stmt 581 Recording each patent assignment per 40 581 40 property (times number of properties) 146 710 246 355 For filing a submission after final rejection (see 37 CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined (see 37 CFR 1.129(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) Other fee (specify) SUBTOTAL (3) \$ -0-*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Charles K. Young Signature: __ Date: December 15, 2000 Reg. Number: 39,435 **Telephone Number:** <u>(408)</u> 720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Please type a plus sign (+) inside this box [+]

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))								
Attorney Docket No. <u>42390P10312</u>								
(maximum 12 characters) First Named Inventor Douglas E. Crafts	368							
Title: Alignment of Fiber Optic Bundle to Array Waveguide Using Pins								
Express Mail Label NoEL672753718US	C825							
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231								
APPLICATION ELEMENTS								
See MPEP chapter 600 concerning utility patent application contents.								
1XX_ Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)								
2 Applicant Claims Small Entity Status. (37 CFR 1.27)								
XX Specification (Total Pages 12) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure								
4. XX Drawings(s) (35 USC 113) (Total Sheets 7)	Drawings(s) (35 USC 113) (Total Sheets 7)							
5. XX Oath or Declaration (Total Pages <u>5</u>)								
a Newly Executed (Original or Copy)								
b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)								
 i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). c. <u>XX</u> Unsigned. 								
6 Application Data Sheet. (37 CFR 1.76)								
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)								
B Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a Computer Readable Form (CRF)								
b Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or								
c. Statement verifying identity of above copies								
ACCOMPANYING APPLICATION PARTS								
11/15/00 -1-								

9 Assignment Papers (cover sheet & documents(s)) 10 a. Separate 37 CFR 3.73(b) Statement (where there is an assignee) b. Power of Attorney						
11 English Translation Document (if applicable)						
12 a. Information Disclosure Statement (IDS)/PTO-1449						
b. Copies of IDS Citations						
13 Preliminary Amendment						
14. XX Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
15 Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
17. XX Other: Certificate of Mailing						
18A If a CONTINUING APPLICATION shock engrapsists have and completely associate in facility						
18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:						
Continuation Divisional Continuation-in-part (CIP) Of Prior Application No.: Examiner Group Art Unit						
(which is a continuation/ divisional/ CIP of prior application no, which is a continuation/ divisional/ CIP of prior application no) (List entire chain of priority)						
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18B. Statement under 37 CFR 3.73(b) for continuing application: The undersigned states that						
19. Correspondence Address Customer Number or Bar Code Label						
Or (Insert Customer No. or Attach Bar Code Label here) X Correspondence Address Below						
NAME Charles K. Young						
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP						
ADDRESS 12400 Wilshire Boulevard						
Seventh Floor						
CITY Los Angeles STATE California ZIP CODE 90025-1026						
Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397						
Name (PRINT/TYPE): Charles K. Young Registration No.: 39,435						
Signature: Charles L. Young Date: December 15, 2000						



EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail mailing label number: EL672753718US	
Date of Deposit: December 15, 2000	
I hereby certify that I am causing this paper or fee to be deposited with the United	
States Postal Service "Express Mail Post Office to Addressee" service on the date	
indicated above and that this paper or fee has been addressed to the Assistant	
Commissioner for Patents, Washington, D. C. 20231	
Dianne Neathery	
(Typed or printed name of person mailing paper or fee)	
(Signature of person mailing paper or fee)	
December 15, 2000	
(Date signed)	
(Sale dignes)	
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Social/Detant No. 17 Eiling/Jegue Detay Hararrith	
Serial/Patent No.: Not yet assigned Filing/Issue Date: Herewith	
Client: Intel Corporation Title: Alignment of Fiber Optic Bundle to Array WaveguideUsing Pi	
The Alignment of the optic buildie to Array waveguine osing in	13
BSTZ File No.: 42390P10312 Atty/Secty Initials: CKY/den	
Date Mailed: December 15, 2000 Docket Due Date: ***	
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon	:
Amendment/Response (pgs.) Express Mail No. EL672753718US Check No. 395	<u> 593</u>
☐ Appeal Brief (pgs.) (in triplicate) ☐ Month(s) Extension of Time Amt: \$710	<u>.00</u>
■ Application - Utility (_12 pgs., with cover and abstract) □ Information Disclosure Statement & PTO 1449 (_ pgs.) □ Check No	
Application - Rule 1.53(b) Continuation (pgs.) Issue Fee Transmittal Am:	
☐ Application - Rule 1.53(b) Divisional (pgs.) ☐ Notice of Appeal	
Application - Rule 1.53(b) CIP (pgs.) Petition for Extension of Time	
Application - Rule 1.53(d) CPA Transmittal (pgs.) Petition for	
Application - Design (pgs.)	
Application - PCT (pgs.) Power of Attorney (pgs.)	
Application - Provisional (pgs.) Preliminary Amendment (pgs.)	
Assignment and Cover Sheet Reply Brief (pgs.)	
Certificate of Mailing Response to Notice of Missing Parts	
■ Declaration & POA (5 pgs.) (Unsigned) □ Small Entity Declaration for Indep. Inventor/Small Business	
☐ Disclosure Does & Orig & Copy of Invertor's Signed Letterpgs) ■ Transmittal Letter, in duplicate	
Drawings:	
Other: Copy of postcard with Certificate of Express Mailing	
pursuant to C.F.R.§1.10	